

09/806876

JC02 Rec'd PCT/PTO 05 APR 2001

TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER 49462

DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/EP 99/07679	13 October 1999	19 October 1998

TITLE OF INVENTION: A PROCESS FOR PREPARING CHIRAL CARBOXYLIC ACIDS FROM NITRILES USING A NITRILASE
OR MICROORGANISMS WHICH COMPRISE A GENE FOR THE NITRILASE

APPLICANT(S) FOR DO/EO/US Marion RESS-LOESCHKE, Thomas FRIEDRICH, Bernhard HAUER, Ralf MATTES, Dirk ENGLES

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. /X/ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
 2. // This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
 3. /X/ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
 4. /X/ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. /X/ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a./X/ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b./ / has been transmitted by the International Bureau.
 - c./ / is not required, as the application was filed in the United States Receiving Office (RO/USO).
 6. /X/ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 7. // Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a./ / are transmitted herewith (required only if not transmitted by the International Bureau).
 - b./ / have been transmitted by the International Bureau.
 - c./ / have not been made; however, the time limit for making such amendments has NOT expired.
 - d./ / have not been made and will not be made.
 8. // A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. /X / An oath or declaration of the inventor(s) (35 U.S.C. 171(c)(4)).
 10. // A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. /X / An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 12. /X / An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. /X / A FIRST preliminary amendment.
// A SECOND or SUBSEQUENT preliminary amendment.
 14. // A substitute specification.
 15. // A change of power of attorney and/or address letter.
 16. /X / Other items or information.
International Search Report
International Preliminary Examination Report

09806876-045040-92890860

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U.S. Appl. No. (If Known) INTERNATIONAL APPLN. NO.
PCT/EP99/07679ATTORNEY'S DOCKET NO.
49462

	<u>CALCULATIONS</u>	<u>PTO USE ONLY</u>
17. /X/ The following fees are submitted		
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):		
Search Report has been prepared by the		
EPO or JPO.....\$860.00	860.00	
International preliminary examination fee paid to USPTO		
(37 CFR 1.482).....\$750.00		
No international preliminary examination fee paid to		
USPTO (37 CFR 1.482) but international search fee paid		
to USPTO (37 CFR 1.445(a)(2)).....\$700.00		
Neither international preliminary examination fee		
(37 CFR 1.482) nor international search fee		
(37 CFR 1.445(a)(2)) paid to USPTO\$ 970.00		
International preliminary examination fee paid to		
USPTO (37 CFR 1.482) and all claims satisfied pro		
-visions of PCT Article 33(2)-(4).....\$96.00		

ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration
later than // 20 // 30 months from the earliest
claimed priority date (37 CFR 1.492(e)).

Claims	Number Filed	Number Extra	Rate	
Total Claims	15	-20	X\$18.	
Indep. Claims	2	-3	X\$80.	
Multiple dependent claim(s) (if applicable)		+270.		
TOTAL OF ABOVE CALCULATION			= 860.00	

Reduction of 1/2 for filing by small entity, if applicable.
Verified Small Entity statement must also be filed
(Note 37 CFR 1.9, 1.27, 1.28).

SUBTOTAL = 860.00

Processing fee of \$130. for furnishing the English
translation later than // 20 // 30 months from the
earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE

= 860.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)).
The assignment must be accompanied by an appropriate cover
sheet (37 CFR 3.28, 3.31) \$40.00 per property

TOTAL FEES ENCLOSED

= \$ 900.00

Amount to be

refunded: \$

Charged \$

a./X/ A check in the amount of \$ 900. to cover the above fees is enclosed.

b./I Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c./X/ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0345. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

KEIL & WEINKAUF
1101 Connecticut Ave., N.W.
Washington, D. C. 20036

SIGNATURE

Herbert B. Keil

NAME

Registration No. 18,967